

# Complexity measures of functions

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Let  $f: \{0,1\}^n \rightarrow \{0,1\}$ . In Worksheet 2 and in Bonus 2 we defined the following two complexity measures:

- The degree of  $f$ , denoted  $\deg(f)$ , is the degree of the Fourier expansion of  $f$ .
- The approximate degree of  $f$ , denoted  $\widetilde{\deg}(f)$ , is the minimum degree of a polynomial  $g$  such that  $|f(x) - g(x)| \leq 1/3$  for all  $x \in \{0,1\}^n$  (changing the parameter  $1/3$  to any other constant in the range  $(0, 1/2)$  affects  $\widetilde{\deg}(f)$  by at most a constant factor).

Our goal in this bonus worksheet is to prove that  $\deg(f) = O(\widetilde{\deg}(f)^6)$ . On the way to this goal, we will need to discuss the following complexity measures:

- The *sensitivity* of  $f$  at a point  $x$  is the number of indices  $i$  such that  $f(x) \neq f(x^{\oplus i})$ . The sensitivity of  $f$ , denoted  $s(f)$ , is the maximum sensitivity of  $f$  at any point (equivalently, it is the minimal  $s$  such that the sensitivity of  $f$  at all points is at most  $s$ ).
- The *block sensitivity* of  $f$  at a point  $x$  is the maximum number of disjoint non-empty sets of indices  $S_i$  such that  $f(x) \neq f(x^{\oplus S_i})$  for all  $i$ , where  $x^{\oplus S_i}$  is obtained from  $x$  by flipping all coordinates in  $S_i$ . The block sensitivity of  $f$ , denoted  $bs(f)$ , is the maximum block sensitivity of  $f$  at any point.
- The *certificate complexity* of  $f$  at a point  $x$  is the minimum size of a set  $I$  of indices such that  $f(x) = f(y)$  whenever  $x_i = y_i$  for all  $i \in I$  (the set  $I$  is known as a *certificate* or *witness*). The certificate complexity of  $f$ , denoted  $C(f)$ , is the maximum certificate complexity of  $f$  at any point.
- The (deterministic) *decision tree complexity* of  $f$ , denoted  $D(f)$ , is the minimum height (number of queries) of a decision tree computing  $f$ .

The rest of the worksheet is devoted to the proof of  $\deg(f) = O(\widetilde{\deg}(f)^6)$ , together with a non-matching lower bound.

1. Show that  $s(f) \leq bs(f) \leq C(f)$ .
2. **Upper bound on  $C(f)$ :**
  - (a) Suppose that the block sensitivity of  $f$  at  $x$  is  $t$ . Show that there exist  $t$  disjoint non-empty sets  $S_1, \dots, S_t$  such that for  $i \in [t]$ , we have (i)  $f(x) \neq f(x^{\oplus S_i})$  and (ii)  $f(x) = f(x^{\oplus T_i})$  for every proper subset  $T_i$  of  $S_i$ .
  - (b) Show that the size of each of the sets  $S_i$  is at most  $s(f)$ .
  - (c) Show that  $S_1 \cup \dots \cup S_t$  is a certificate for  $f$  at the point  $x$ .
  - (d) Conclude that  $C(f) \leq s(f)bs(f)$ .
3. Show that  $\deg(f) \leq D(f)$ .
4. **Upper bound on  $D(f)$ :** Consider the following algorithm, whose input is a point  $x \in \{0, 1\}^n$ :
  - Initialize a vector  $y \in \{0, 1, \perp\}^n$  with  $y_i := \perp$  for all  $i$  (here  $\perp$  means “don’t know”).
  - Repeat  $bs(f)$  times:
    - If  $f(z) = 0$  for all points  $z$  consistent with  $y$ , then output 0.
    - Otherwise, find a point  $z$  consistent with  $y$  such that  $f(z) = 1$ .
    - Let  $I$  be a certificate for  $f$  at  $z$ .
    - Query  $x_i$  for  $i \in I$ , and update  $y$  accordingly:  $y_i := x_i$  for all  $i \in I$ .
    - If  $x_i = z_i$  for all  $i \in I$ , output 1.
  - Find a point  $z$  consistent with  $y$ , and output  $f(z)$ .

This algorithm corresponds to a decision tree whose properties we now analyze.

- (a) Show that the algorithm can be implemented by a decision tree of height at most  $C(f)bs(f)$ .
  - (b) Show that if the algorithm terminates inside the main loop, then it outputs  $f(x)$ .
  - (c) Show that if the algorithm terminates at the final step, then it outputs  $f(x)$ . (Hint: suppose not, and consider two inputs  $z_0, z_1$  consistent with  $y$  such that  $f(z_0) = 0$  and  $f(z_1) = 1$ .)
  - (d) Conclude that  $\deg(f) \leq D(f) \leq C(f)bs(f) \leq s(f)bs(f)^2 \leq bs(f)^3$ .
5. **Conclusion:**
- (a) Show that  $bs(f) = O(\widetilde{\deg}(f)^2)$  by checking that Question 2(a)–(e) of Bonus 2 applies to this more general situation.
  - (b) Conclude that  $\deg(f) = O(\widetilde{\deg}(f)^6)$ .
  - (c) Show that some function  $f$  satisfies  $\deg(f) = \Omega(\widetilde{\deg}(f)^2)$ . This is the best known separation.
  - (d) Show that the measures  $\deg(f), \widetilde{\deg}(f), bs(f), C(f), D(f)$  are all polynomially related (any two measures  $\alpha(f), \beta(f)$  satisfy  $\alpha(f) = O(\beta(f)^{O(1)})$ ).

It is not known whether  $s(f)$  is polynomially related to the other measures. The *sensitivity conjecture* states that it is. For more on this material, I suggest the paper *Separations in query complexity using cheat sheets* by Scott Aaronson, Shalev Ben-David, and Robin Kothari.