

# Boolean Function Analysis — Assignment 3

Yuval Filmus

June 27, 2021

Let  $S_n$  denote the *symmetric group* of all permutations of  $[n] = \{1, \dots, n\}$ . We represent a permutation by the corresponding  $n \times n$  permutation matrix  $(x_{ij})_{i,j \in [n]}$ . Such a matrix describes a permutation if the following properties hold:

- (i) Each entry equals 0 or 1:  $x_{ij} \in \{0, 1\}$  for all  $i, j \in [n]$ .
- (ii) Each row contains a unique 1: for all  $i \in [n]$ ,  $\sum_j x_{ij} = 1$ .
- (iii) Each column contains a unique 1: for all  $j \in [n]$ ,  $\sum_i x_{ij} = 1$ .

A function on  $S_n$  has degree 1 (by which we really mean degree *at most* 1) if it can be written in the form

$$\sum_{ij} c_{ij} x_{ij}.$$

1. Another natural definition for degree 1 functions defines them as all functions that can be written in the form

$$c + \sum_{ij} c_{ij} x_{ij}.$$

Show that the two definitions coincide.

2. The space of all degree 1 functions is a subspace of all real-valued functions on the symmetric group. In this question, we will determine its dimension.

- (a) Let  $f$  be a degree 1 function. With each representation

$$f = c + \sum_{ij} c_{ij} x_{ij}$$

we associate a potential value  $\sum_{ij} c_{ij}^2$ . Standard topology shows that some representation minimizes the potential value.

Show that if a representation minimizes the potential value then it satisfies the following constraints:

- (i) For all  $i \in [n]$ ,  $\sum_j c_{ij} = 0$ .
- (ii) For all  $j \in [n]$ ,  $\sum_i c_{ij} = 0$ .

We call such a representation *canonical*.

- (b) Consider a function  $f$  represented in canonical form. Calculate the expectation of  $f$  over all permutations, and the expectation of  $f$  over all permutations mapping  $i$  to  $j$ .
- (c) Conclude the dimension of the space of all degree 1 functions.

3. In this question, we will determine all Boolean degree 1 functions.

Let  $f = \sum_{ij} c_{ij}x_{ij}$  be Boolean:  $f(x) \in \{0, 1\}$  for every  $x \in S_n$ .

(a) An  $n \times n$  matrix  $(x_{ij})_{i,j \in [n]}$  is *bistochastic* if the following properties hold:

- (i)  $x_{ij} \geq 0$  for all  $i, j \in [n]$ .
- (ii) For all  $i \in [n]$ ,  $\sum_j x_{ij} = 1$ .
- (iii) For all  $j \in [n]$ ,  $\sum_i x_{ij} = 1$ .

Birkhoff and von Neumann showed that every bistochastic matrix can be written as a convex combination of permutation matrices.<sup>1</sup>

Show that  $0 \leq f(x) \leq 1$  for all bistochastic  $x$ .

(b) The set of all bistochastic matrices forms a polytope known as the *Birkhoff polytope*. A *face* of the polytope consists of all bistochastic matrices satisfying  $x_{i_1 j_1} = \dots = x_{i_m j_m} = 0$ , where  $0 \leq m \leq n^2$ . If  $\ell$  is any linear function in the  $x_{ij}$ , then the subset of the Birkhoff polytope on which  $\ell$  attains its minimum value is a face of the polytope.

Show that if  $f$  is not constant (as a function on the symmetric group), then there are  $i, j \in [n]$  such that if  $x_{ij} = 1$  then  $f(x) = 1$ .

(c) Show that  $f$  can be written as a sum  $f = x_{i_1 j_1} + \dots + x_{i_m j_m}$ , where  $0 \leq m \leq n$ .

(d) Give an explicit description of all Boolean degree 1 functions on the symmetric group.

4. The alternating group  $A_n$  is the subgroup of the symmetric group  $S_n$  consisting of all even permutations. When  $n = 4$ ,  $A_n$  consists of the following 12 permutations:

- (i) The identity.
- (ii) Products of two disjoint 2-cycles:  $(12)(34), (13)(24), (14)(23)$ .
- (iii) 3-cycles:  $(123), (132), (124), (142), (134), (143), (234), (243)$ .

Consider the function

$$f = \frac{x_{11} + x_{23} - x_{32} + x_{44}}{2}.$$

- (a) Show that as a function on  $A_4$ ,  $f$  is Boolean.
- (b) Show that as a function on  $S_4$ ,  $f$  is not Boolean.
- (c) Conclude that the characterization of Boolean degree 1 functions on the symmetric group fails for the alternating group, at least when  $n = 4$ .

---

<sup>1</sup>A matrix  $x$  is a convex combination of matrices  $y_1, \dots, y_m$  if there exist  $c_1, \dots, c_m \geq 0$  summing to 1 such that  $x = c_1 y_1 + \dots + c_m y_m$ .