



European Research Council

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Approximate Polymorphisms

Joint work with

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Yuval Filmus, 7 March 2021, TAU Combinatorics Seminar

Judgement Aggregation

Defendant is guilty if they have the **means** and the **motive**

	Means?	Motive?	Guilty?
Ehud	Yes	No	No
Shamgar	No	Yes	No
Deborah	Yes	Yes	Yes
Majority	Yes	Yes	No

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	Means?	Motive?	Guilty?
Ehud	Yes	No	No
Shamgar	No	Yes	No
Deborah	Yes	Yes	Yes
Majority	Yes	Yes	No

Inconsistent!

Polymorphisms

Polymorphisms

Given:

Polymorphisms

Given:

Predicate $P \subseteq \{0,1\}^k$

Polymorphisms

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Function $f: \{0,1\}^n \rightarrow \{0,1\}$

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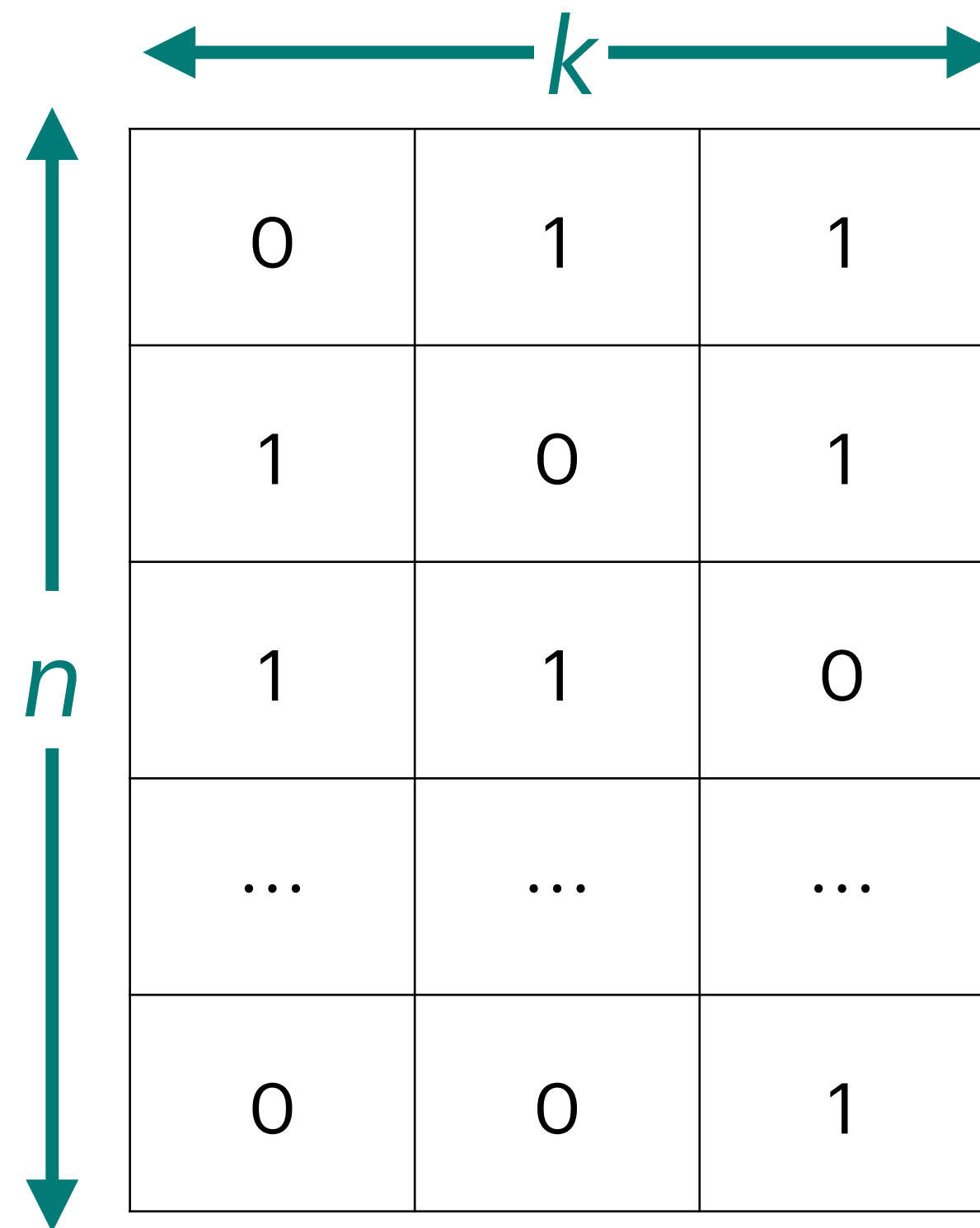
0	1	1
1	0	1
1	1	0
...
0	0	1

Polymorphisms

Given:

Predicate $P \subseteq \{0,1\}^k$

Function $f: \{0,1\}^n \rightarrow \{0,1\}$



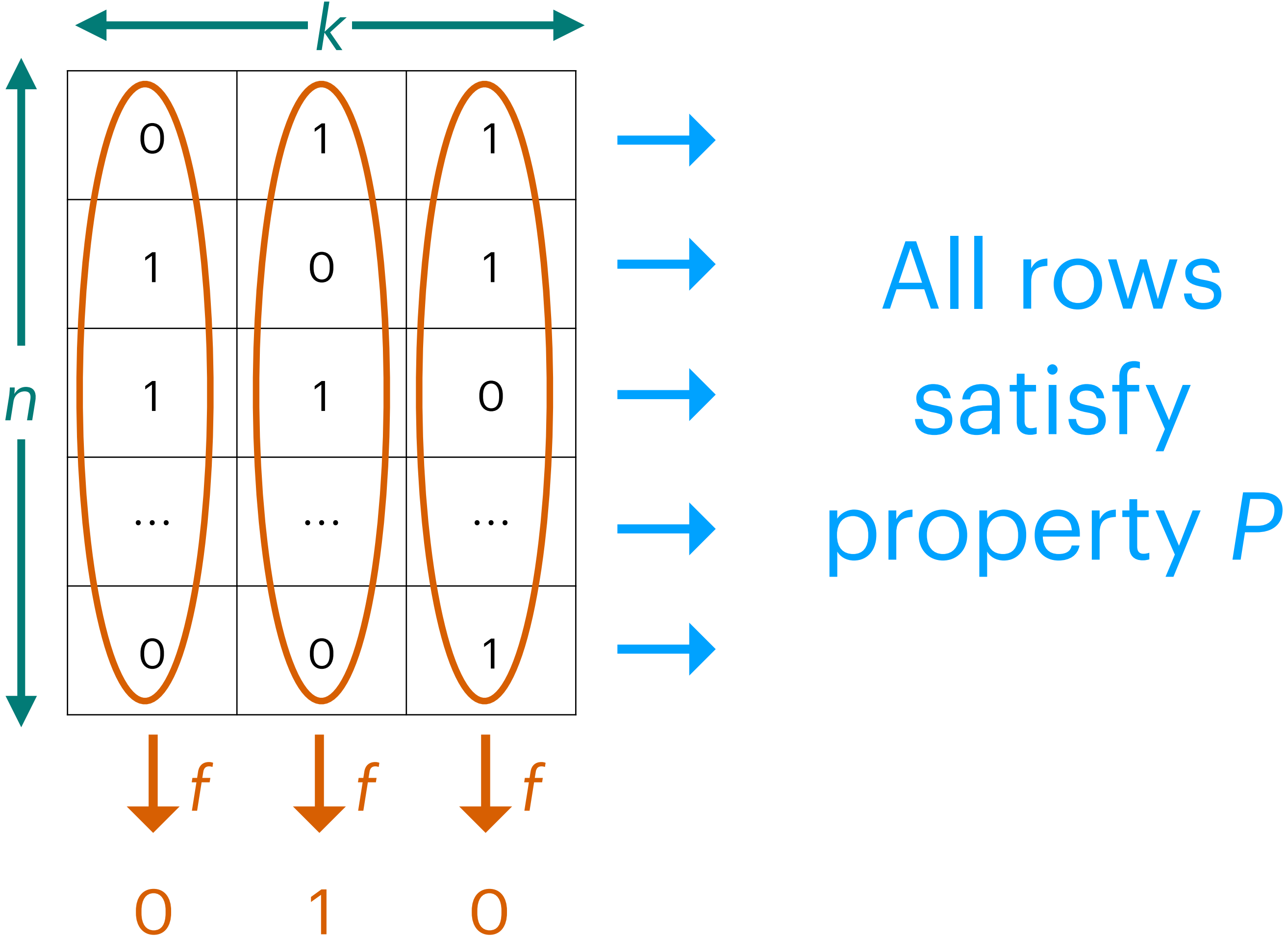
All rows
satisfy
property P

Polymorphisms

Given:

Predicate $P \subseteq \{0,1\}^k$

Function $f: \{0,1\}^n \rightarrow \{0,1\}$

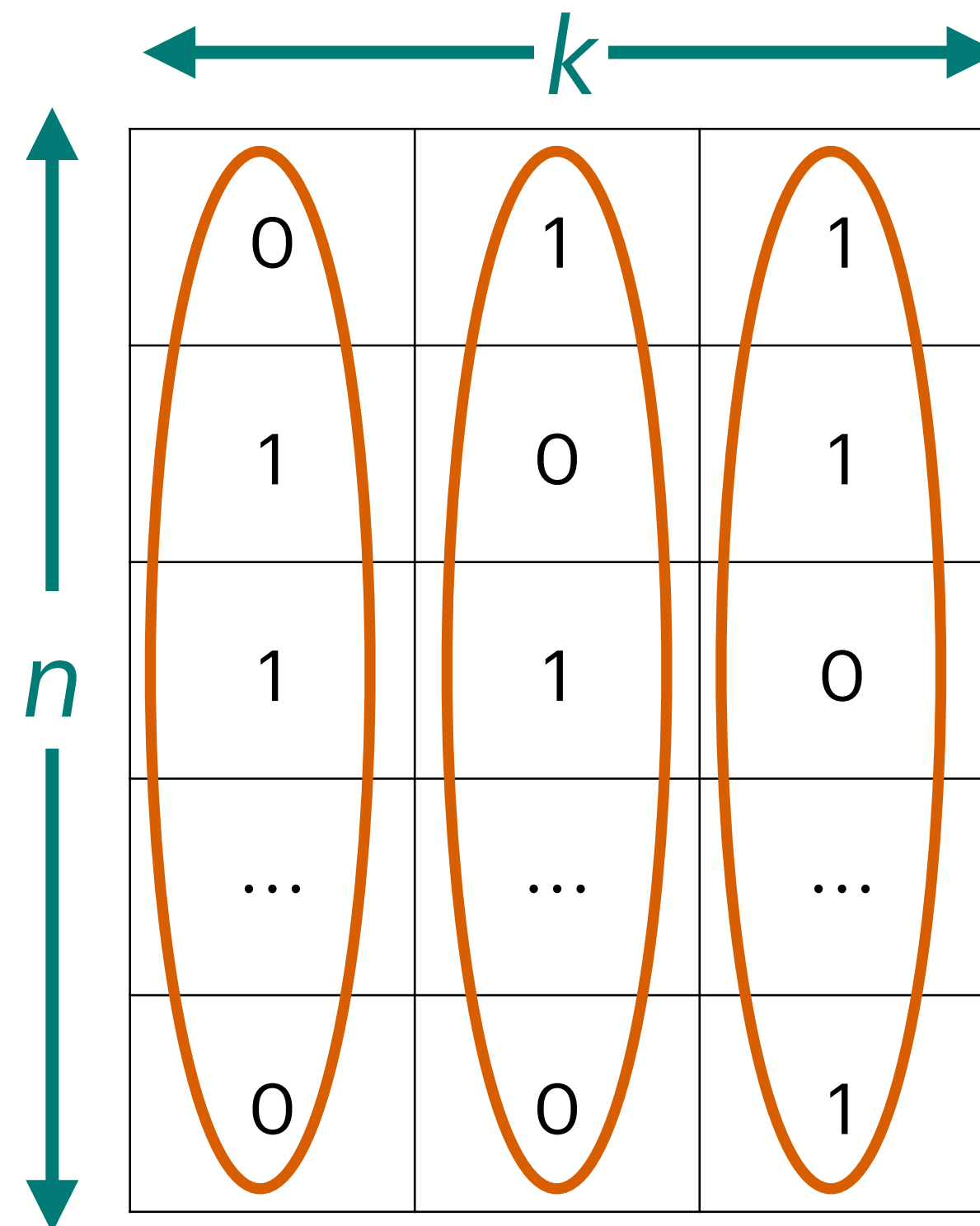


Polymorphisms

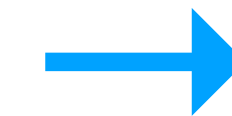
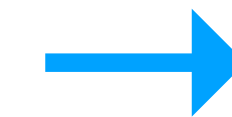
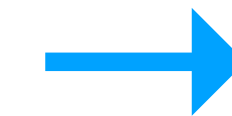
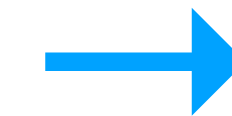
Given:

Predicate $P \subseteq \{0,1\}^k$

Function $f: \{0,1\}^n \rightarrow \{0,1\}$



0 1 0



All rows
satisfy
property P

Should satisfy P

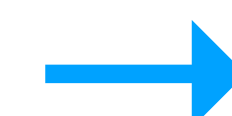
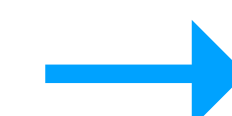
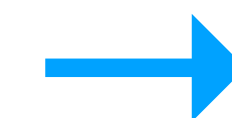
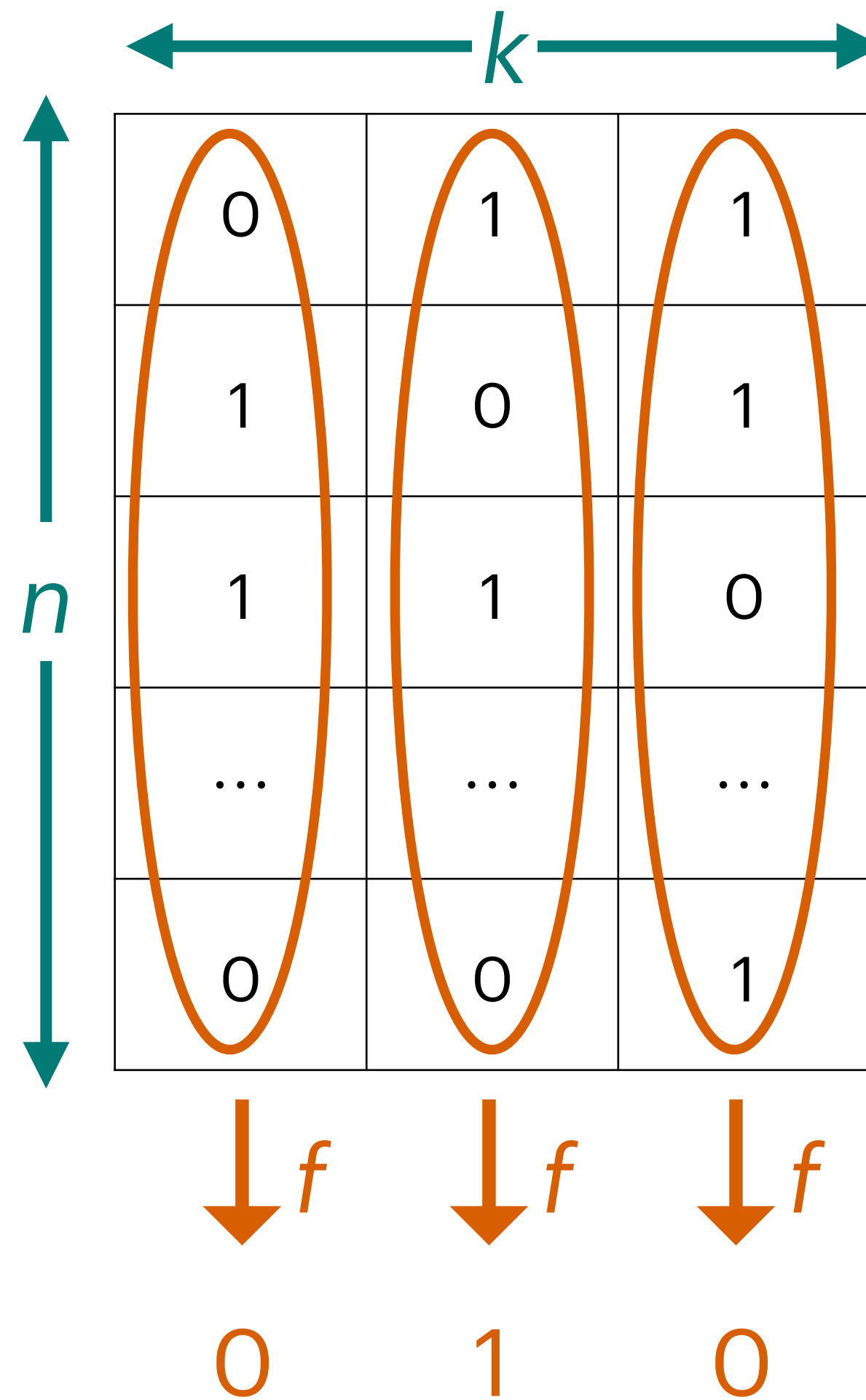
Polymorphisms

Given:

Predicate $P \subseteq \{0,1\}^k$

Function $f: \{0,1\}^n \rightarrow \{0,1\}$

“ f is a polymorphism of P ”



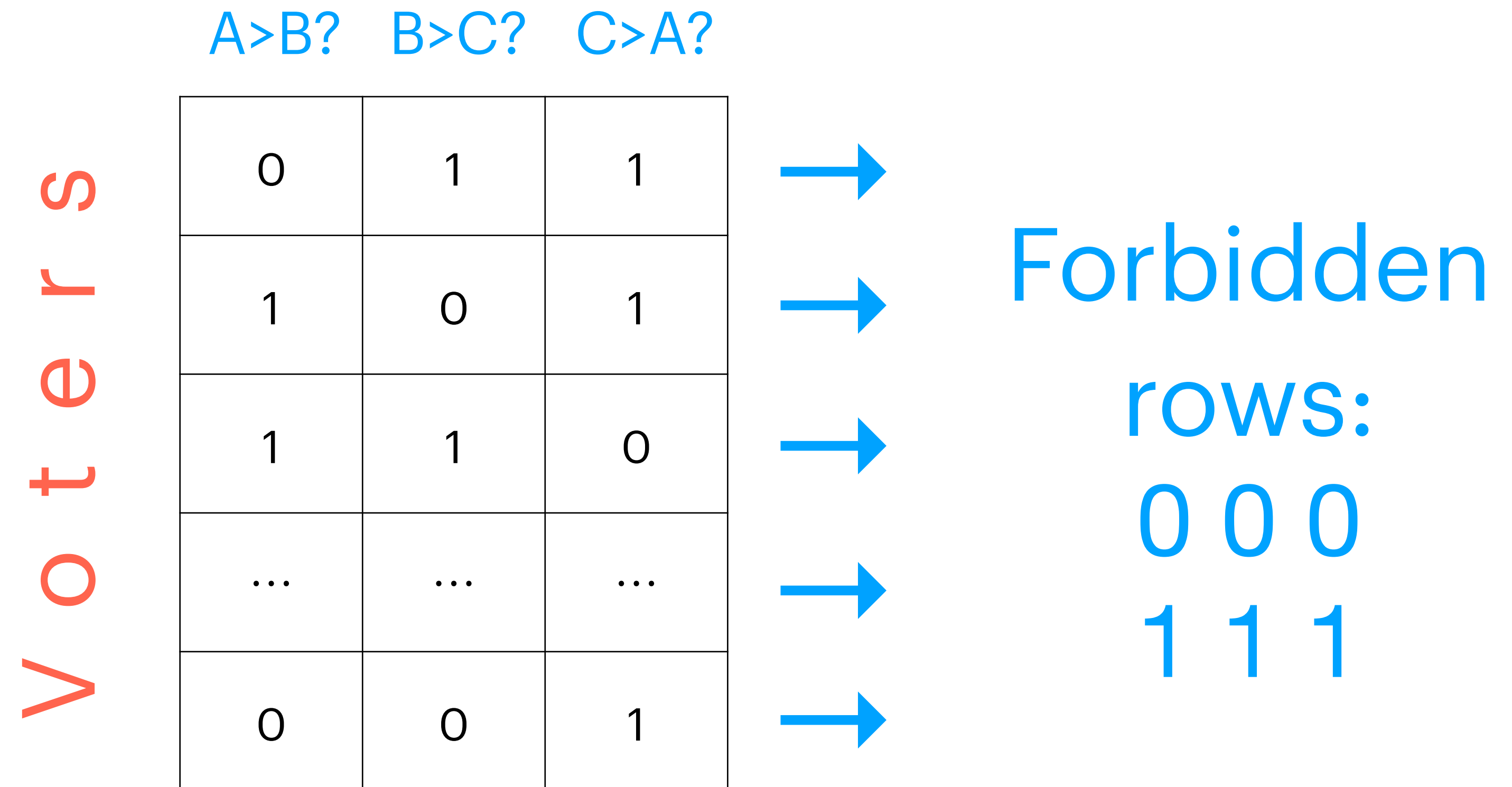
All rows satisfy property P

Should satisfy P

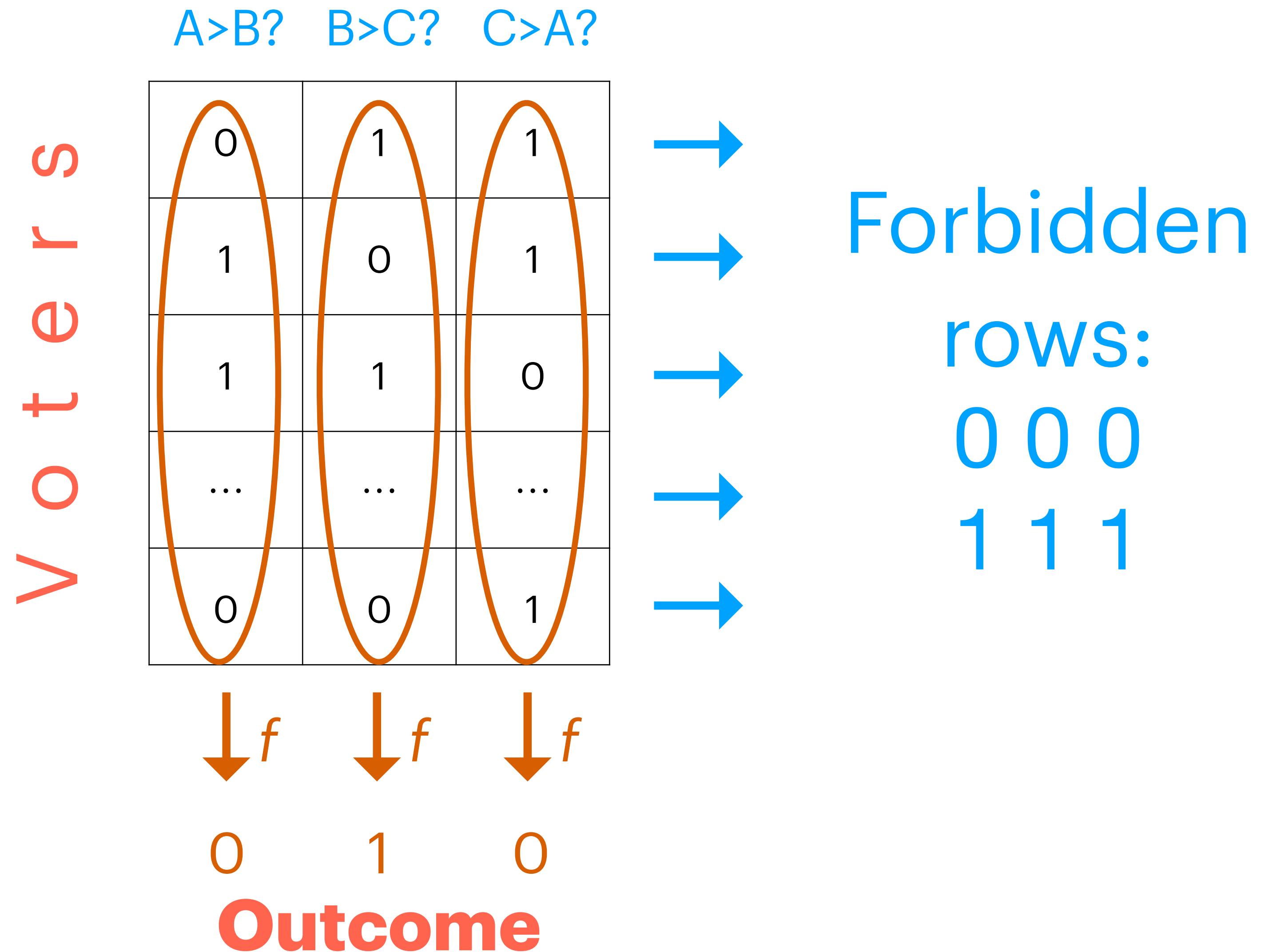
Example: Not-All-Equal

	A>B?	B>C?	C>A?
V	0	1	1
o	1	0	1
t	1	1	0
e
r	0	0	1
s			

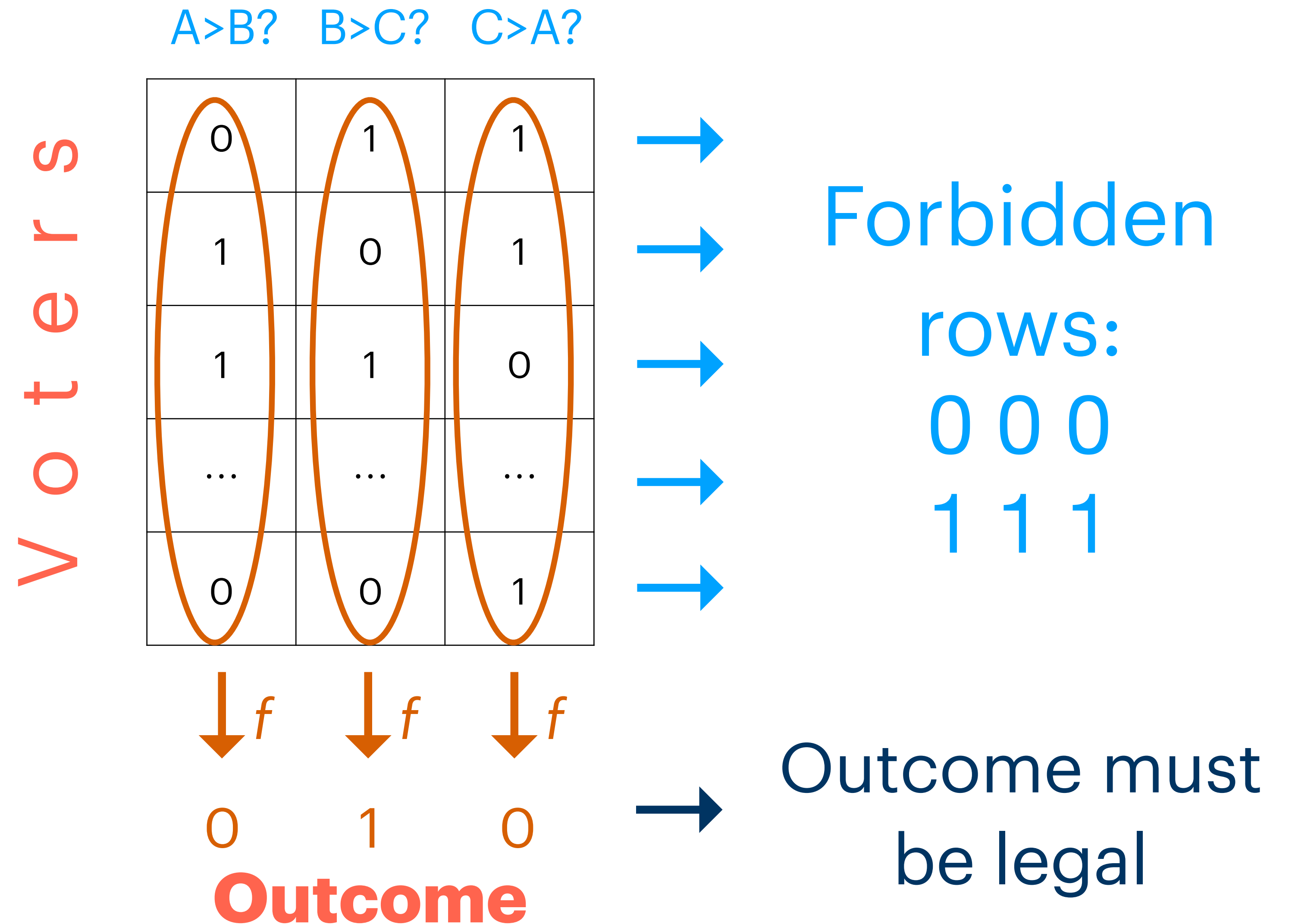
Example: Not-All-Equal



Example: Not-All-Equal



Example: Not-All-Equal



Example: Not-All-Equal

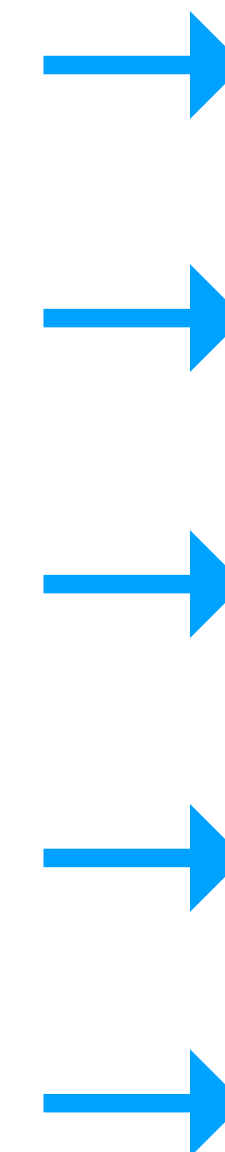
Polymorphisms:
Dictators (i -th row)
(Arrow's theorem)

	A>B?	B>C?	C>A?
V	0	1	1
o	1	0	1
t	1	1	0
e
r	0	0	1

↓ f ↓ f ↓ f

0 1 0

Outcome



Forbidden

rows:

0 0 0

1 1 1

Outcome must
be legal

Example: Even Parity

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *linear* if $f(x \oplus y) = f(x) \oplus f(y)$

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A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *linear* if $f(x \oplus y) = f(x) \oplus f(y)$

x	y	$x \oplus y$
0	1	1
1	0	1
1	1	0
...
0	0	0

Example: Even Parity

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *linear* if $f(x \oplus y) = f(x) \oplus f(y)$

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1	1	0
...
0	0	0

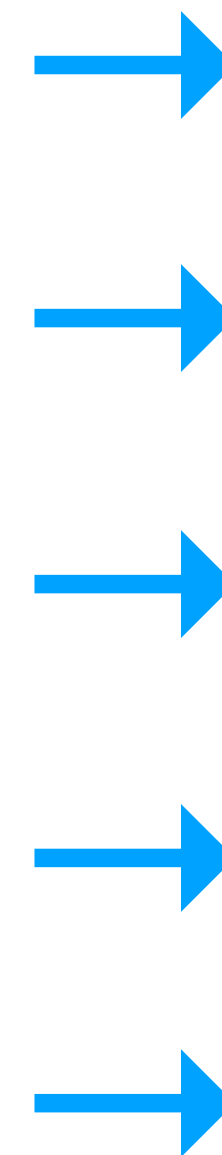


Rows have
even parity

Example: Even Parity

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1	0	1
1	1	0
...
0	0	0



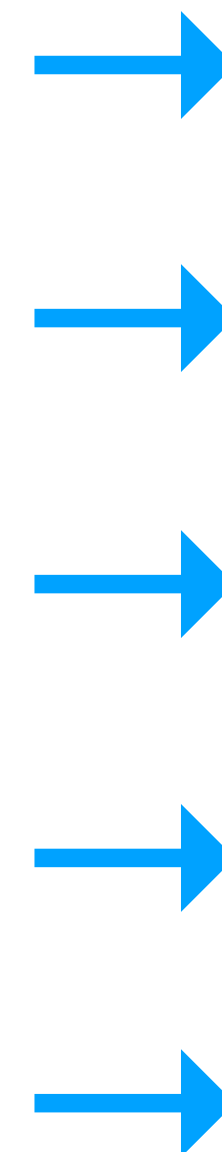
Rows have
even parity

$\downarrow f$ $\downarrow f$ $\downarrow f$
0 1 1

Example: Even Parity

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1	0	1
1	1	0
...
0	0	0



Rows have even parity

$\downarrow f$ $\downarrow f$ $\downarrow f$
0 1 1



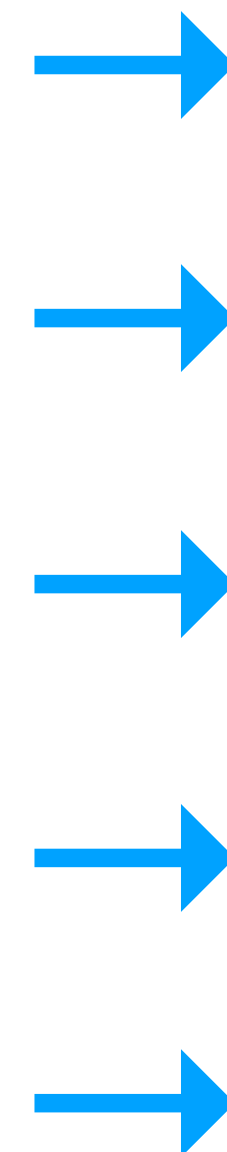
Outcome must have even parity

Example: Even Parity

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *linear* if $f(x \oplus y) = f(x) \oplus f(y)$

Polymorphisms:
XORs of rows

x	y	$x \oplus y$
0	1	1
1	0	1
1	1	0
...
0	0	0



Rows have
even parity

$\downarrow f$ $\downarrow f$ $\downarrow f$
0 1 1



Outcome must
have even parity

Example: AND

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *multiplicative* if $f(xy) = f(x)f(y)$

Example: AND

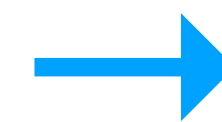
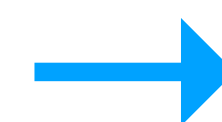
A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *multiplicative* if $f(xy) = f(x)f(y)$

x	y	$x \wedge y$
0	1	0
1	0	0
1	1	1
...
0	0	0

Example: AND

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *multiplicative* if $f(xy) = f(x)f(y)$

x	y	$x \wedge y$
0	1	0
1	0	0
1	1	1
...
0	0	0

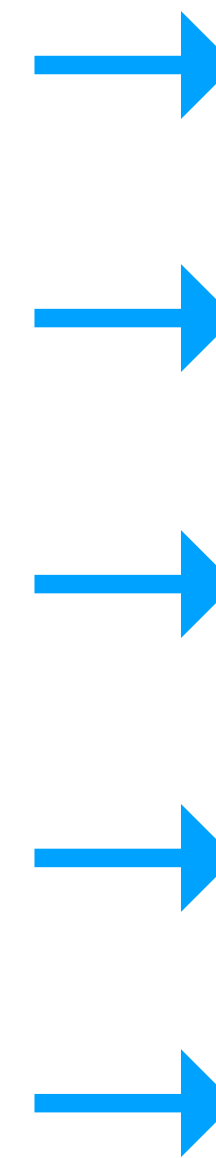


Last coord
computes
AND

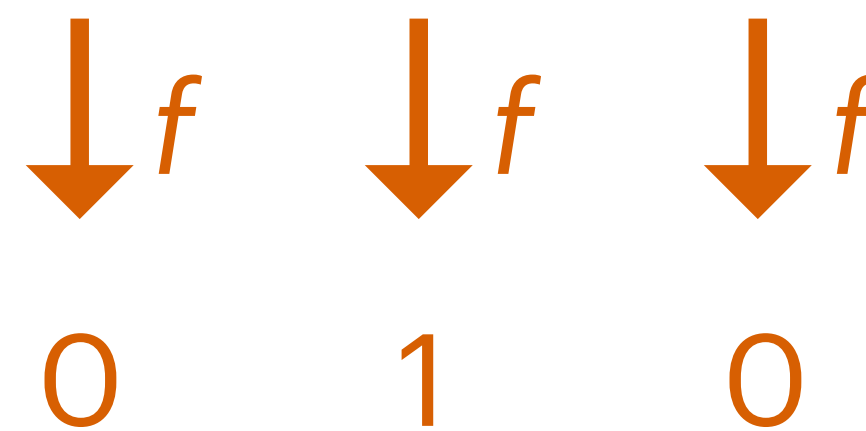
Example: AND

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *multiplicative* if $f(xy) = f(x)f(y)$

x	y	$x \wedge y$
0	1	0
1	0	0
1	1	1
...
0	0	0



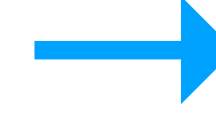
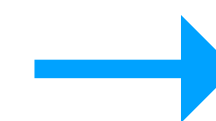
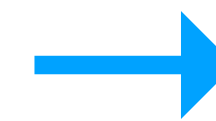
Last coord
computes
AND



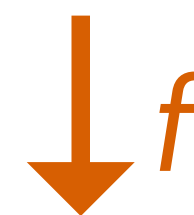
Example: AND

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x	y	$x \wedge y$
0	1	0
1	0	0
1	1	1
...
0	0	0



Last coord
computes
AND



0

1

0



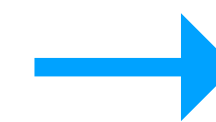
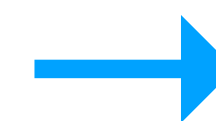
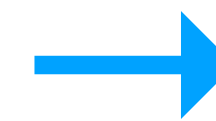
Same condition

Example: AND

A function $f: \{0,1\}^n \rightarrow \{0,1\}$ is *multiplicative* if $f(xy) = f(x)f(y)$

Polymorphisms:
ANDs of rows, 0

x	y	$x \wedge y$
0	1	0
1	0	0
1	1	1
...
0	0	0



Last coord
computes
AND



0

1

0



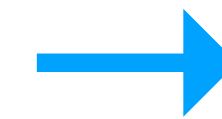
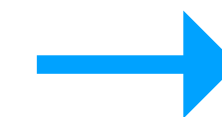
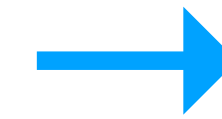
Same condition

Example: NAND

0	1
1	0
0	0
...	...
0	1

Example: NAND

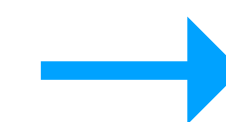
0	1
1	0
0	0
...	...
0	1



Forbidden row:
1 1

Example: NAND

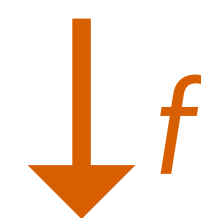
0	1
1	0
0	0
...	...
0	1



Forbidden row:
1 1



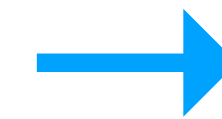
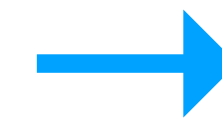
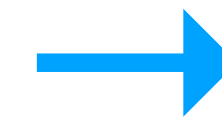
0



1

Example: NAND

0	1
1	0
0	0
...	...
0	1



Forbidden row:
1 1



0



1

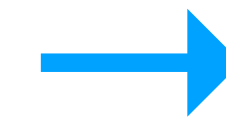


Outcome not 1 1

Example: NAND

Polymorphisms:
Intersecting families

0	1
1	0
0	0
...	...
0	1



Forbidden row:
1 1



0

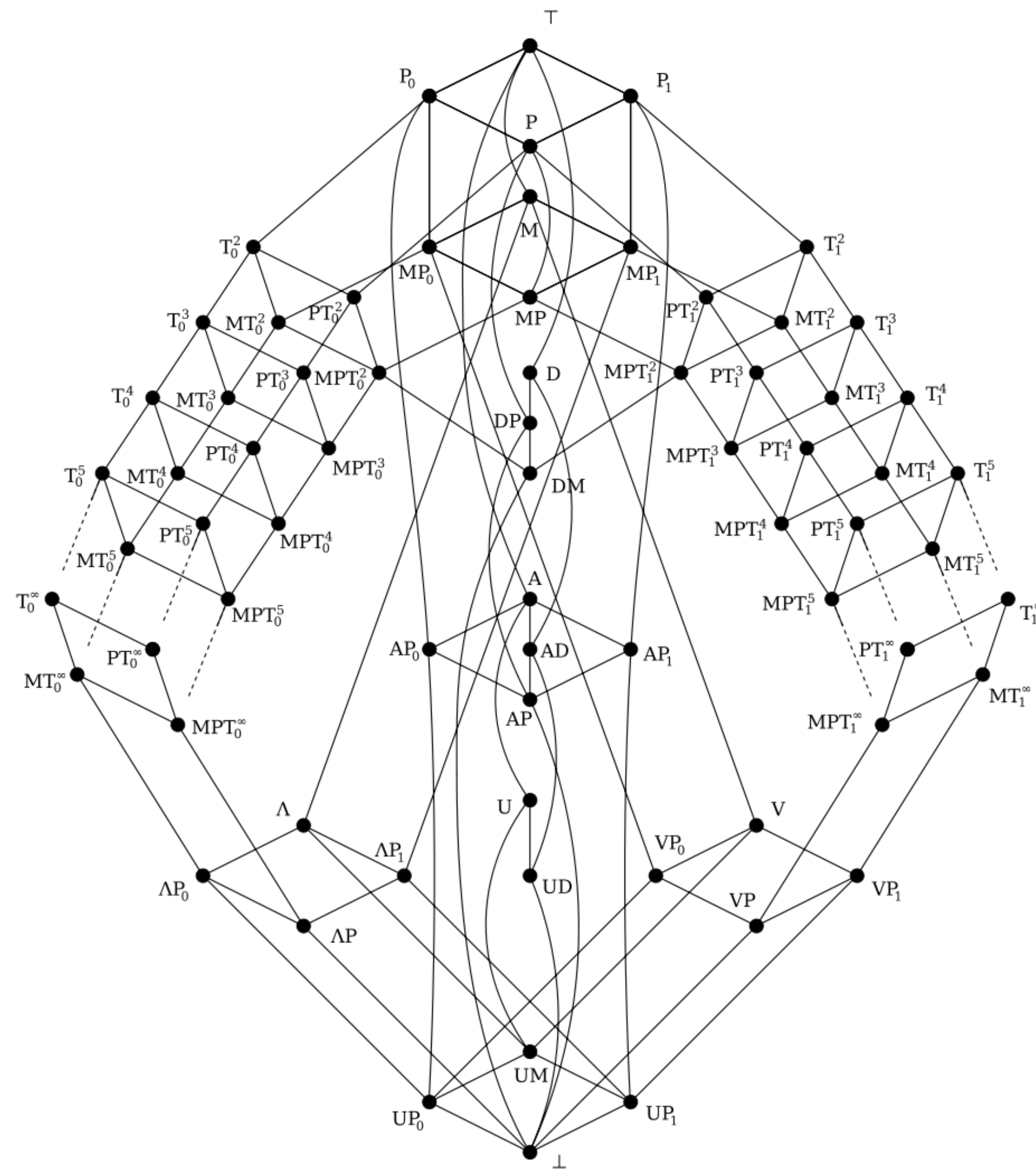


1



Outcome not 1 1

Post's Lattice



Truth-Functional Setting

Truth-Functional Setting

XOR function

0	1	$0 \oplus 1$
1	0	$1 \oplus 0$
1	1	$1 \oplus 1$
...
0	0	$0 \oplus 0$

Truth-Functional Setting

XOR function

0	1	$0 \oplus 1$
1	0	$1 \oplus 0$
1	1	$1 \oplus 1$
...
0	0	$0 \oplus 0$

AND function

0	1	$0 \wedge 1$
1	0	$1 \wedge 0$
1	1	$1 \wedge 1$
...
0	0	$0 \wedge 0$

Truth-Functional Setting

XOR function

0	1	$0 \oplus 1$
1	0	$1 \oplus 0$
1	1	$1 \oplus 1$
...
0	0	$0 \oplus 0$

AND function

0	1	$0 \wedge 1$
1	0	$1 \wedge 0$
1	1	$1 \wedge 1$
...
0	0	$0 \wedge 0$

Majority function

0	1	1	$\text{Maj}(0,1,1)$
1	1	1	$\text{Maj}(1,1,1)$
1	0	0	$\text{Maj}(1,0,0)$
...
0	0	0	$\text{Maj}(0,0,0)$

Truth-Functional Setting

XOR function

0	1	$0 \oplus 1$
1	0	$1 \oplus 0$
1	1	$1 \oplus 1$
...
0	0	$0 \oplus 0$

AND function

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1	0	$1 \wedge 0$
1	1	$1 \wedge 1$
...
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...
0	0	0	$\text{Maj}(0,0,0)$

Always have dictators, sometimes "antidictators", sometimes constants

Truth-Functional Setting

XOR function

0	1	$0 \oplus 1$
1	0	$1 \oplus 0$
1	1	$1 \oplus 1$
...
0	0	$0 \oplus 0$

AND function

0	1	$0 \wedge 1$
1	0	$1 \wedge 0$
1	1	$1 \wedge 1$
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1	1	1	$\text{Maj}(1,1,1)$
1	0	0	$\text{Maj}(1,0,0)$
...
0	0	0	$\text{Maj}(0,0,0)$

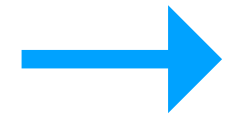
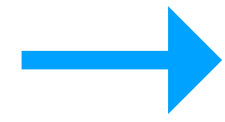
Always have dictators, sometimes “antidictators”, sometimes constants

Dokow & Holzman: Other polymorphisms exist only for AND, XOR

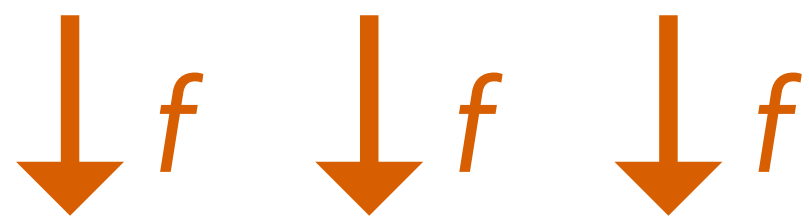
Approximate Polymorphisms

Approximate Polymorphisms

0	1	1
1	0	1
1	1	0
...
0	0	1



All rows
satisfy
property P



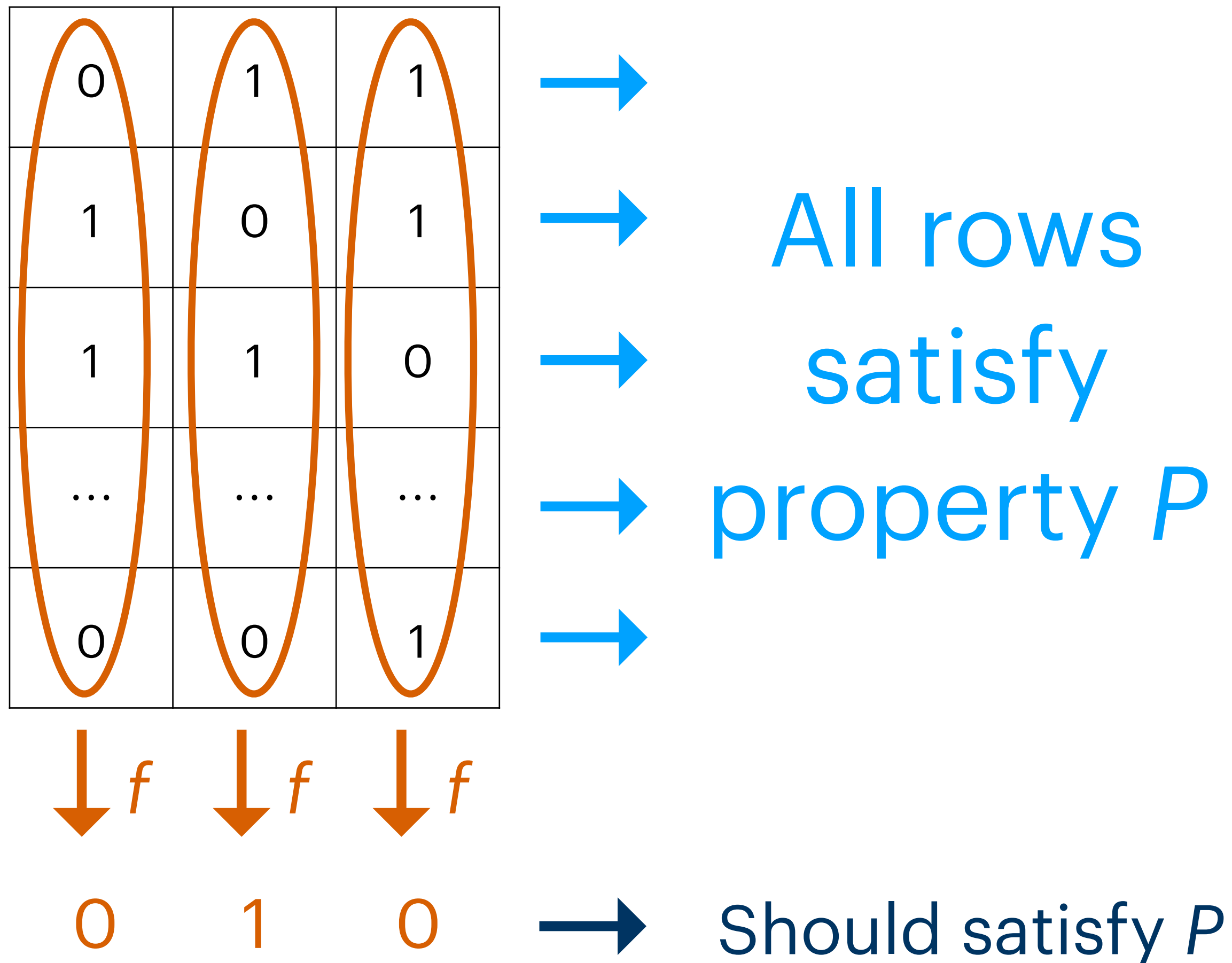
0 1 0



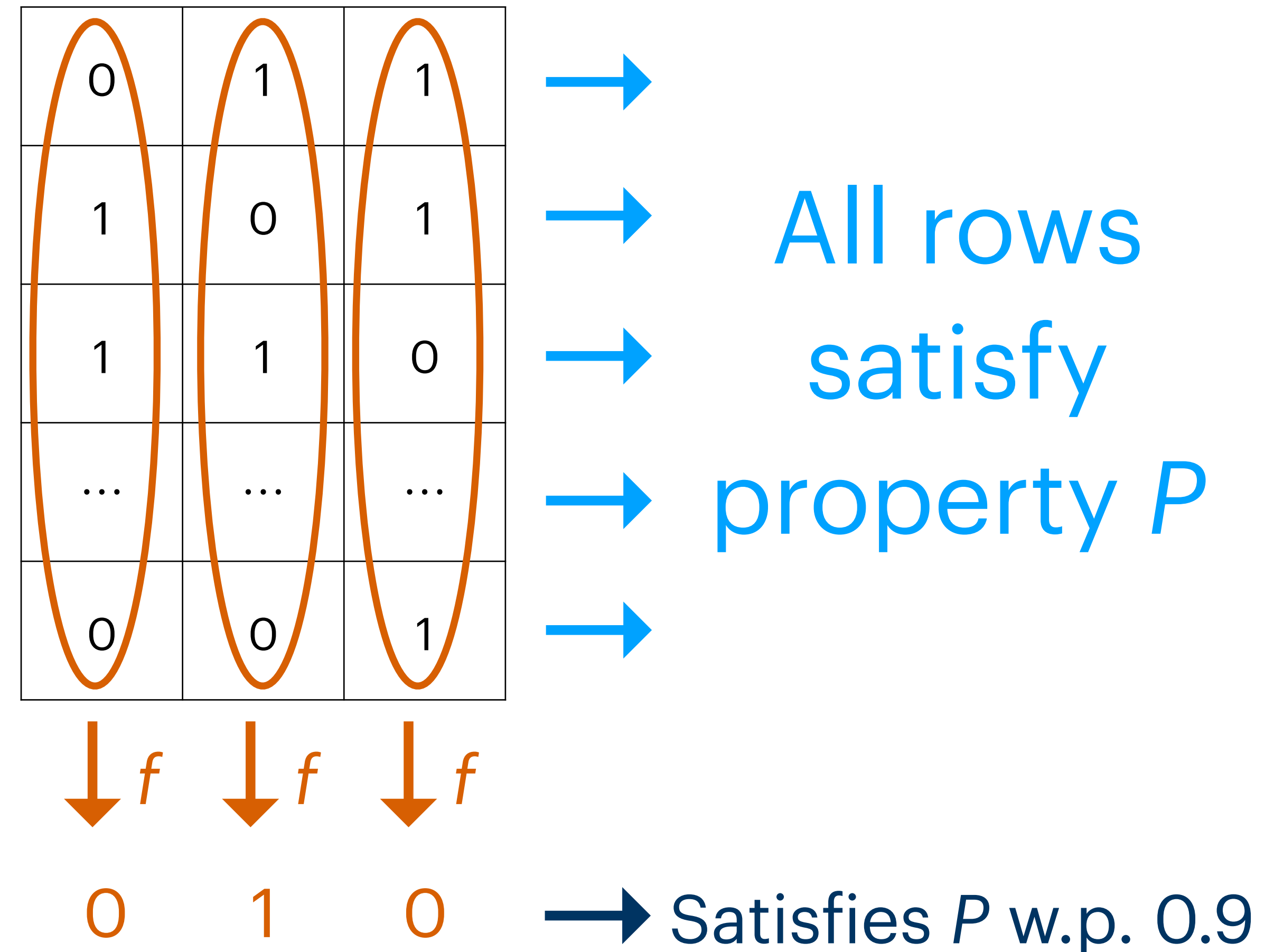
Should satisfy P

Exact polymorphism

Approximate Polymorphisms



Exact polymorphism



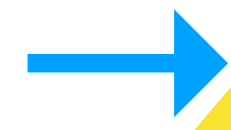
Approx polymorphism

Approximate Polymorphisms

0	1	1
1	0	1
1	1	0
...
0	0	1

↓ f ↓ f ↓ f

0 1 0



Is every
approximate
polymorphism
close to an exact
polymorphism?

1	1
...	...



All rows
satisfy
property P

Satisfies P w.p. 0.9

Exact polymorphism Approx polymorphism

Examples of approximate polymorphisms

Not-All-Equal

0	1	1
1	0	1
1	1	0
...
0	0	1

Even Parity

0	1	1
1	0	1
1	1	0
...
0	0	0

NAND

0	1
1	0
0	0
...	...
0	1

AND function

0	1	0
1	0	0
1	1	1
...
0	0	0

Examples of approximate polymorphisms

Not-All-Equal

0	1	1
1	0	1
1	1	0
...
0	0	1

Even Parity

0	1	1
1	0	1
1	1	0
...
0	0	0

NAND

0	1
1	0
0	0
...	...
0	1

AND function

0	1	0
1	0	0
1	1	1
...
0	0	0

Approx polymorphisms:
Dictators (i -th row)

(Kalai's theorem)

Examples of approximate polymorphisms

Not-All-Equal

0	1	1
1	0	1
1	1	0
...
0	0	1

Approx polymorphisms:
Dictators (i -th row)

(Kalai's theorem)

Even Parity

0	1	1
1	0	1
1	1	0
...
0	0	0

Approx polymorphisms:
XORs of rows

(Linearity testing)

NAND

0	1
1	0
0	0
...	...
0	1

AND function

0	1	0
1	0	0
1	1	1
...
0	0	0

Examples of approximate polymorphisms

Not-All-Equal

0	1	1
1	0	1
1	1	0
...
0	0	1

Approx polymorphisms:
Dictators (i -th row)

(Kalai's theorem)

Even Parity

0	1	1
1	0	1
1	1	0
...
0	0	0

Approx polymorphisms:
XORs of rows

(Linearity testing)

NAND

0	1
1	0
0	0
...	...
0	1

Approx polymorphisms:
Intersecting families

(Friedgut-Regev)

AND function

0	1	0
1	0	0
1	1	1
...
0	0	0

Examples of approximate polymorphisms

Not-All-Equal

0	1	1
1	0	1
1	1	0
...
0	0	1

Approx polymorphisms:
Dictators (i -th row)

(Kalai's theorem)

Even Parity

0	1	1
1	0	1
1	1	0
...
0	0	0

Approx polymorphisms:
XORs of rows

(Linearity testing)

NAND

0	1
1	0
0	0
...	...
0	1

Approx polymorphisms:
Intersecting families

(Friedgut-Regev)

AND function

0	1	0
1	0	0
1	1	1
...
0	0	0

Approx polymorphisms:
ANDs of rows, constant 0

(This work)

Examples of approximate polymorphisms

Not-All-Equal

0	1	1
1	0	1
1	1	0
...
0	0	1

Approx polymorphisms:
Dictators (i -th row)

(Kalai's theorem)

Even Parity

0	1	1
1	0	1
1	1	0
...
0	0	0

Approx polymorphisms:
XORs of rows

(Linearity testing)

NAND

0	1
1	0
0	0
...	...
0	1

Approx polymorphisms:
Intersecting families

(Friedgut-Regev)

AND function

0	1	0
1	0	0
1	1	1
...
0	0	0

Approx polymorphisms:
ANDs of rows, constant 0

(This work)

Improves on
Nehama 2010

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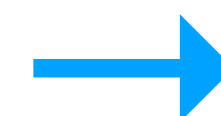
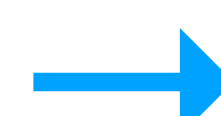
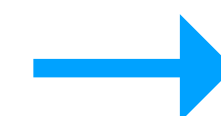
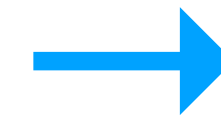
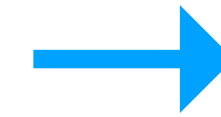
Constant coefficient is expectation of f

Important observation: different monomials are *orthogonal*

Simpler example

Majority function

0	1	1	1
1	1	1	1
1	0	0	0
...
0	0	0	0



Last coord
computes
Majority

Polymorphisms:
Dictators (i -th row)
Constant functions

Polymorphisms of Majority

A function $f: \{\pm 1\}^n \rightarrow \{\pm 1\}$ is a polymorphism of Majority if

$$f(\text{Maj}(x_1, y_1, z_1), \dots, \text{Maj}(x_n, y_n, z_n)) = \text{Maj}(f(x_1, \dots, x_n), f(y_1, \dots, y_n), f(z_1, \dots, z_n))$$

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Everything also holds approximately, using FKN theorem!

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Cannot directly compare biased and unbiased Fourier expansions!
The two expansions depend on different parts of f .

However, can read Fourier expansion of $T_{\downarrow}f$
from *biased* Fourier expansion of f !

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Suggests solving generalized eigenvalue problem

$$T_{\downarrow}g = \lambda h$$

where $g: \{0,1\}^n \rightarrow [0,1]$ and $h: \{0,1\}^n \rightarrow \{0,1\}$.

Generalized eigenvalue problem

Solve $T_{\downarrow}g(x) = \lambda h(x)$ for $g: \{0,1\}^n \rightarrow [0,1]$ and $h: \{0,1\}^n \rightarrow \{0,1\}$.

$T_{\downarrow}g(x) = \mathbb{E}[g(y)]$, where y results from zeroing each coordinate w.p. $\frac{1}{2}$.

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If $x_1 = \dots = x_{\ell} = 1$ then $y_1 = \dots = y_{\ell} = 1$ w.p. $2^{-\ell}$.

Otherwise, $y_1 \wedge \dots \wedge y_{\ell} = 0$ always.

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If $x_1 = x_2 = 0$ then $y_1 = y_2 = 0$ always.

If $x_1 = 1$ then y_1 is uniformly random, so $y_1 \oplus y_2$ is uniformly random.

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Can rule out unexpected solutions since $\lambda \approx \mathbb{E}[h]$.

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Let $z \leq x$. Want to rule out $h(x) = 0$ but $h(z) = 1$.

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LP duality: argument automatically extends to $T_{\downarrow}g \approx \lambda h$!

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- Same for Majority on any odd number of inputs.
- Ongoing work: many more functions!

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Proof is somewhat different!

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What is the best relation between ε and δ ?
- If $\Pr[f(\text{Maj}(x, y, z)) = \text{Maj}(f(x), f(y), f(z))] \geq 1 - \varepsilon$ then f is $O(\sqrt{\varepsilon})$ -close to a dictator or a constant. Works for any Majority.
Dokow & Holzman: Non-trivial exact polymorphisms only for AND, XOR.
Can we generalize this to any function other than AND, XOR?

Open questions

- If $\Pr[f(xy) = f(x)f(y)] \geq 1 - \varepsilon$ then f is δ -close to an AND or a constant.
What is the best relation between ε and δ ?
- If $\Pr[f(\text{Maj}(x, y, z)) = \text{Maj}(f(x), f(y), f(z))] \geq 1 - \varepsilon$ then f is $O(\sqrt{\varepsilon})$ -close to a dictator or a constant. Works for any Majority.
Dokow & Holzman: Non-trivial exact polymorphisms only for AND, XOR.
Can we generalize this to any function other than AND, XOR?
- If $\Pr[f(x \oplus y) = f(x) \oplus f(y)] \geq \frac{1}{2} + \varepsilon$ then f correlates with exact polymorphism.
Does a similar statement hold for AND?

Bonus: Schaefer's theorem

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XOR-SAT is in P

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Schaefer's theorem:

If all predicates have one of the following polymorphisms, in P:

constant 0, constant 1, AND, OR, Majority, XOR

Otherwise, NP-complete.

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Recently extended to non-binary domains (Dichotomy Theorem).